



PATENT APPLICATION

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226-02
JON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Takanobu NOGUCHI, et al.

Appln. No. 09/630,407

Group Art Unit: 1774

Confirmation No.: 5925

Examiner: Marie Rose Yamnitzky

Filed: August 01, 2000

For: POLYMERIC FLOURESCENT SUBSTANCE AND POLYMER LIGHT EMITTING
DEVICE

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FEB 25 2002
TC 1700

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Abstract of Japanese Patent Publication No. JP 9045478 published February 14, 1997.
2. Abstract of Japanese Patent Publication No. JP 5202355 published August 10, 1993.
3. Abstract of Japanese Patent Publication No. JP 11140161 published May 25, 1999.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

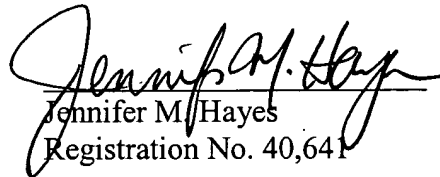
The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No. 09/630,407

merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,


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Date: February 21, 2002



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STATEMENT UNDER 37 C.F.R. § 1.97(e)


Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,


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